



Colorado Secretary of State
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Periodic Report

filed pursuant to §7-90-301, et seq. and §7-90-501 of the Colorado Revised Statutes (C.R.S)

ID number: 20011054531

Entity name: LPL Financial LLC

Jurisdiction under the law of which the
 entity was formed or registered: California

1. Principal office street address: 9785 TOWNE CENTRE DRIVE
(Street name and number)

SAN DIEGO CA 92121
(City) (State) (Postal/Zip Code)
United States
(Province – if applicable) (Country – if not US)

2. Principal office mailing address:
 (if different from above) 75 STATE STREET, 24TH FLOOR
(Street name and number or Post Office Box information)

BOSTON MA 02109
(City) (State) (Postal/Zip Code)
United States
(Province – if applicable) (Country – if not US)

3. Registered agent name: (if an individual) _____
(Last) (First) (Middle) (Suffix)

OR (if a business organization)

THE CORPORATION COMPANY

4. The person identified above as registered agent has consented to being so appointed.

5. Registered agent street address: 1675 Broadway Ste 1200
(Street name and number)

Denver CO 80202
(City) (State) (Postal/Zip Code)

6. Registered agent mailing address:
(if different from above)

(Street name and number or Post Office Box information)

<hr/> <i>(City)</i>	<hr/> <i>(State)</i>	<hr/> <i>(Postal/Zip Code)</i>
<hr/> <i>(Province – if applicable)</i>	<hr/> <i>(Country – if not US)</i>	

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<i>(Last)</i>	<i>(First)</i>	<i>(Middle)</i> <i>(Suffix)</i>
75 STATE STREET, 24TH FLOOR		
<i>(Street name and number or Post Office Box information)</i>		
<hr/>		
BOSTON	MA	02109
<i>(City)</i>	<i>(State)</i>	<i>(Postal/Zip Code)</i>
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**LPL Financial LLC –#20011054531
State of Colorado
2012 Annual Report – Managers/Members**

Managers at 9785 Towne Centre Drive - San Diego, CA 92121:

Robert J. Moore – Chief Financial Officer, President, Treasurer

Managers at 75 State Street, 24th Floor – Boston, MA 02109:

Mark S. Casady - Chairman, Chief Executive Officer

Stephanie L. Brown - Managing Director, General Counsel, Secretary

Members at 75 State Street, 24th Floor – Boston, MA 02109:

LPL Holdings, Inc.